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Patent and Trade

Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE

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Complete if Known				
Application Number	09/668,610			
Filing Date	September 22, 2000			
First Named Inventor	Carl M. Ellison			
Group Art Unit	2131			
Examiner Name	Unassigned			
Attorney Docket Number	42P8104X			

3				U.S. PATENT DOCUMENTS		
Examiner Initials*	Cite No.¹	U.S. Patent Do	ocument ind Code ² f known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner	Cite		Foreign Patent Docu	ment	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	
Initials*	No.1	Office ³	Number ⁴	Kind Code ⁶ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Τ¢
K.A			WO0175595		Intel Corporation	10-11-2001		
K.A.			WO0175565		Intel Corporation	10-11-2001		-
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Examiner Signature	Roch Oblinda	Date Considered 4/13/04
		

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Exam. Initial*	Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
KA.		EP0930567A WO9834365A	Texas Instruments, Inc. AT&T Corporation	7/21/99 8/6/98		

Signature Lab Ohland	Examiner		•	Date Considered	
	Signature	1 De la Claria de la		サバア/OM	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known						
Application Number	09/668,610	OIP				
Filing Date	9/22/00	4./				
First Named Inventor:	Ellison, et. al.	JUL 2 4 2002 3				
Group Art Unit	2131	3				
Examiner Name	Unassigned	To Market Control				
Attorney Docket Number	042390.P8104x					

2 Cite	042390.P8104X OTHER ART		Attorney Docket Number	042390.P8104x	VH
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				Technology Center 2100	
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention

Platform and Method for Generating and Utilizing a Audit Log

Application Number:

09/668610

Confirmation Number:

2283

First Named Applicant: Carl Ellison
Attorney Docket Number: 42P08104X

Art Unit:

Unknown

Examiner:

Unknown Unknown

Search string:

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5293424 or 5317705 or 5459867 or 5504922 or 5606617 or 5901225 or 5970147 or 6061794 or 6092095 or 6115816 or 6212635 or 6222923 or 6252650 or 6269392 or 6330670 or 6357004 or 6363485 or 6374317 or 6378072 or 6389537 or 6412035 or 6421702 or 6445797 or 6463535 or 6463537 or 6507904 or 6535988 or 6557104 or

6633963 or 6633981).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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k)	14	6115816	2000-09-05	Davis, Derek L.
h	15	6212635	2001-04-03	Reardon, David C.
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Remarks

Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to receipt of a first office action. Pursuant to 37 CFR 1.97(b), no fee should be required the filing of this Electronic Information Disclosure Statement. In the event it is that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be

by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature

Examiner Name Date

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Substitute fo	or Form 1449A/F	PTO (Modifie	ed)		Comple	ete if Known	
INFO	RMATIO	א חופט	CLOSURE	Application Number		09/668,610	
IAIPA				Filing Date		September 2	22, 2000
STA	LEMENT.	BY AP	PLICANT	First Named Inventor:		Carl M. Elli	son
3 6 20	Marian as many si	heets as nece	essary)	Group Art Unit		2131	
- NOV 20-	5)			Examiner Name		Unassigned	
Sheet	1408	of	2	Attorney Docket Numb	er	042390P810)4X
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Initial*	No. ¹	Number	Kind	of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant
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KM.		6,101,584		Satou, et al	08/08/2000	
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KA		EP 1 030 237 A	Hewlett Packard	08/23/2000		

Examiner Signature	Loub Okahaha	Date Considered せんしゅん
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for	r Form 1	449A/PTO (Modified)		Co	omplete if Kno	own	
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OTPE		TION DIOCE	OOOINE	Filing Date	Septemb	er 22, 2000	
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not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Substit	ute for F	orm 1449A/I	PTO (Modified)			Comple	te if Known	
INI	FOR	ΜΔΤΙΟΙ	N DISCL	.OSURE	Application Number		09/668,610	
					Filing Date		9/22/2000	
S			BY APP		First Named Inventor	r:	Ellison, et a	1.
	1	-	eets as necess	ary)	Group Art Unit		2131	
	A	y 1 2 2003	<u>y)</u>		Examiner Name		Unassigned	
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	(A)	TRACE!		U.S. PATE	NT DOCUMENTS			
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Exam. Initial*	Cite No. ¹	Foreign Patent Documer Office ³ Number ⁴ Kind C (If known	code ⁵ of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰
KA		WO 97/29567	Gressel			
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KJ		WO 01/75595	Ellison			
KA		WO 01/27723A	Pearson			
KMI		WO 01/27821A	Pearson			

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.



Electronic Information Disclosure Statement

Protecting Software Environment in Isolated Execution

Application:

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Confirmation: 2283

MAY 1 9 2003

Applicant(s): Carl Ellison

Technology Center 2100

Docket

42390P8104X

Number: Group Art

Unit:

2131

Examiner:

Unassigned

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search string:

or 6055637 or 6085296 or 6125430 or 6148379 or 6192455 or 6249872 or 6272533 or 6292874 or 6301646 or 6321314 or 6339815 or 6339816 or 6499123 or 6505279 or 20010021969 or

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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rs.	P02	5079737	1992-01- 07		Hackbarth		
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r(A)	18	6321314	2001-11-		Van Dyke
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(.A) P.	21	6499123	2002-12- 24	·	McFarland, et al.
K.A P.	22	6505279	2003-01- 07		Phillips, et al.

Published Applications

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
K.A.	U01	20010021969	2001- 09-13		Burger, et al.		
kA.	U02	20010027527	2001- 10-04		Khidekel, et al.		

Remarks

(Remarks are not for responding to an office action.)

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02–2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature

Examiner Name	Date
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Rosel Marhanthan	(1/10/0

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INFOR	MOITAM	DISCL	OSURE	Application Number		09/668,610	
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	MENT B			First Named Inventor:		Ellison, et a	,
(u	ise as many shee	ets as necessa	AU6 2 2 2003 3	Group Art Unit		2131	
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Sheet 1		of &		Attorney Docket Number	er	042390.P81	04X
	A	N. Park	RADEMARK	NT DOCUMENTS			***
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Initial* No.				ed Document	Cited	Document	Where Relevant
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Signature Roch Whiteham 4715/7003

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFO	RMAT	TION DISCLOSURE	Application Number	09/668,610	<u> </u>	
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SIAI	FMFI	NT BY APPLICANT	First Named Inventor:	Ellison, et	al	
	(use as n	nany sheets as necessary)	Group Art Unit	2131		
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Sheet	2	of 🛃	Attorney Docket Number	042390.P8	104X	
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Examiner Initials*	Cite No ¹	Include name of the author (in CAPIT item (book, magazine, journal, se	AL LETTERS), title of the article rial, symposium, catalog, etc.), cisher, city and/or country where	e (when approp date, page(s), v published	olume-issue/	T ²
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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449A/PTO Complete if Known 09/668,610 Application Number Filing Date September 22, 2000 INFORMATION DISCLOSURE Carl M. Ellison First Named Inventor STATEMENT BY APPLICANT 2131 Group Art Unit Examiner Name Unassigned (Use as many sheets as necessary.) Attorney Docket Number 042390.P8104X Sheet of 2

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Sheet 2 of 2

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Application Number	09/668,610				
Filing Date	September 22, 2000				
First Named Inventor	Carl M. Ellison				
Group Art Unit	2131				
Examiner Name	Unassigned				
Attorney Docket Number	042390.P8104X				

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